

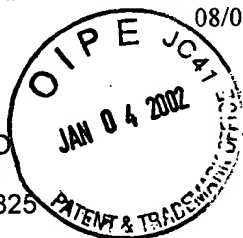


UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/924,675	08/08/2001	Volker Mauer	ALT.P002

27296  
LAWRENCE M. CHO  
P.O. BOX 2144  
CHAMPAIGN, IL 61825



CONFIRMATION NO. 3658

FORMALITIES LETTER



\*OC00000006562372\*

Date Mailed: 09/17/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice MUST be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

01/09/2002 BNGUYEN1 00000032 09924675

01 FC:105

130.00 OP

#3

Attorney's Docket No. ALT.P002

Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



In re Application of:

Volker Mauer

Application No.: 09/924,675

Filed: August 8, 2001

For: METHOD AND APPARATUS FOR  
IMPLEMENTING A MULTI-STEP  
PSEUDO RANDOM SEQUENCE  
GENERATOR

) Examiner: Not Yet Assigned

)

) Art Unit No. 2121

)

) FIRST CLASS CERTIFICATE OF MAILING

) (37 C.F.R. § 1.8(a))

) I hereby certify that this correspondence is being  
) deposited with the United States Postal Service as

) first class mail with sufficient postage in an

) envelope addressed to the Commissioner for

) Patents, Washington, D.C. 20231 on

) November 1, 2001.

) Date of Deposit

Commissioner of Patents  
Box Missing Parts  
Washington, D.C. 20231

Lawrence M. Cho

Name of Person Mailing Correspondence

Law M Cho

Signature

11/1/01

Date

RESPONSE TO NOTICE TO FILE MISSING PARTS

Dear Sir:

In response to the Notice to File Missing Parts of September 17, 2001, Applicant has enclosed the following documents:

- (1) a duly executed Declaration with respect to the above-referenced patent application;
- (2) a copy of an executed Assignment of the above-referenced patent application that is being filed concurrently;
- (3) a duly executed Power of Attorney with respect to the above-referenced patent application;

(4) a Credit Card Payment Form authorizing the charge of \$130.00, as the payment of the surcharge as set forth in 37 C.F.R. § 1.16(e); and

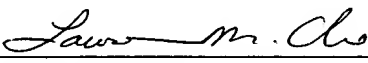
(5) a copy of Part 2 of the Notice to File Missing Parts.

If there are any issues that can be resolved through a telephone conference, the Examiner is invited to contact the undersigned at (217) 377-2500.

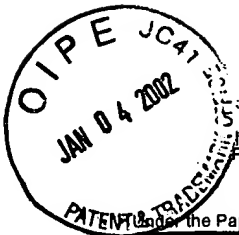
If any additional fee is required, please charge Deposit Account No. 50-1624.

Respectfully submitted,

Dated: 11/1, 2001

  
\_\_\_\_\_  
Lawrence M. Cho  
Attorney for Applicant  
Registration No. 39,942

P.O. Box 2144  
Champaign, IL 61825  
(217) 377-2500



Please type a plus sign (+) inside this box → ☐

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence after initial filing)</i>	<b>Application Number</b>	09/924,675	
	<b>Filing Date</b>	August 8, 2001	
	<b>First Named Inventor</b>	Volker Mauer	
	<b>Group Art Unit</b>	2121	
	<b>Examiner Name</b>	Not Yet Available	
<b>Total Number of Pages in This Submission</b>	14	<b>Attorney Docket Number</b>	ALT.P002

<b>ENCLOSURES (check all that apply)</b>		
<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers <i>(for an Application)</i>	<input type="checkbox"/> After Allowance Communication to Group
<input checked="" type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group <i>(Appeal Notice, Brief, Reply Brief)</i>
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) <i>(please identify below):</i>
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	Declaration
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	Power of Attorney
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	Credit Card Payment Form
<input checked="" type="checkbox"/> Response to Missing Parts/Incomplete Application	<b>Remarks</b>	Postcard
<input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

<b>SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT</b>	
Firm or Individual name	Lawrence M. Cho
Signature	<i>Lawrence M. Cho</i>
Date	November 1, 2001

<b>CERTIFICATE OF MAILING</b>			
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: <b>November 1, 2001</b>			
Typed or printed name	Lawrence M. Cho		
Signature	<i>Lawrence M. Cho</i>	Date	November 1, 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



PTO/SB/17 (10-01)  
Approved for use through 10/31/2002. OMB 0651-0032  
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# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ ) 130.00

## Complete if Known

Application Number	09/924,675
Filing Date	August 8, 2001
First Named Inventor	Volker Mauer
Examiner Name	Not Yet Available
Group Art Unit	2121
Attorney Docket No.	ALT.P002

## METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number: 501624  
Deposit Account Name: Lawrence Cho

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17  
☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☒ Payment Enclosed:

☐ Check ☒ Credit card ☐ Money Order ☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 740	201 370	Utility filing fee	
106 330	206 165	Design filing fee	
107 510	207 255	Plant filing fee	
108 740	208 370	Reissue filing fee	
114 160	214 80	Provisional filing fee	

SUBTOTAL (1) (\$ ) 0.00

### 2. EXTRA CLAIM FEES

Total Claims:  -20\*\* =  X  =   
Independent Claims:  -3\*\* =  X  =   
Multiple Dependent:  =

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103 18	203 9	Claims in excess of 20
102 84	202 42	Independent claims in excess of 3
104 280	204 140	Multiple dependent claim, if not paid
109 84	209 42	** Reissue independent claims over original patent
110 18	210 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ ) 0.00

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	130.00
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for <i>ex parte</i> reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 400	216 200	Extension for reply within second month	
117 920	217 460	Extension for reply within third month	
118 1,440	218 720	Extension for reply within fourth month	
128 1,960	228 980	Extension for reply within fifth month	
119 320	219 160	Notice of Appeal	
120 320	220 160	Filing a brief in support of an appeal	
121 280	221 140	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,280	241 640	Petition to revive - unintentional	
142 1,280	242 640	Utility issue fee (or reissue)	
143 460	243 230	Design issue fee	
144 620	244 310	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Processing fee under 37 CFR 1.17(q)	
126 180	126 180	Submission of Information Disclosure Stmt	
581 40	581 40	Recording each patent assignment per property (times number of properties)	
146 740	246 370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149 740	249 370	For each additional invention to be examined (37 CFR § 1.129(b))	
179 740	279 370	Request for Continued Examination (RCE)	
169 900	169 900	Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

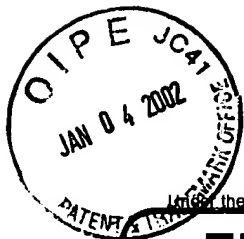
SUBTOTAL (3) (\$ ) 130.00

## SUBMITTED BY

Name (Print/Type)	Lawrence M. Cho	Registration No. (Attorney/Agent)	39,942	Telephone	(217) 377-2500
Signature	<i>Lawrence M. Cho</i>	Date	November 1, 2001		

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Section 3

PTO/SB/17 (10-01)  
Approved for use through 10/31/2002. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE  
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## Complete if Known

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Filing Date	August 8, 2001
First Named Inventor	Volker Mauer
Examiner Name	Not Yet Available
Group Art Unit	2121
Attorney Docket No.	ALT.P002

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Multiple Dependent:  =

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\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

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Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ ) 130.00

## SUBMITTED BY

Name (Print/Type)	Lawrence M. Cho	Registration No. (Attorney/Agent)	39,942	Telephone	(217) 377-2500
Signature	<i>Lawrence M. Cho</i>	Date	November 1, 2001		

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